

As of March 31, 2026

Strategic Objective

SWS Growth Equity seeks to create long-term capital appreciation by investing in companies across multiple industries that have the ability to maintain or take a profitable market share.

Inception

May 1, 2018

Benchmark

Bloomberg US 1000 Growth Index

Process

SWS Growth Equity employs a systematic, fundamental research-based process to identify companies with sustainable competitive advantage and compelling cash flow attributes. The ongoing investment process allows active response to new information and enables conviction assessments across multiple opportunity sets, utilizing the same process, every time, on every decision.

Portfolio Managers

Mike Parker, CFA® 23 years industry
8 years firm

Kurt Grove, CFA® 11 years industry
6 years firm

Performance (%)

	QTD	YTD	1-Year	3-Year	5-Year	7-Year	Since Inception
SWS Growth Equity (net max fee)	-11.33%	-11.33%	27.04%	20.77%	5.17%	12.75%	13.21%
SWS Growth Equity (gross)	-10.95%	-10.95%	29.09%	22.74%	6.91%	14.60%	14.98%
Russell 1000 Growth	-9.78%	-9.78%	18.81%	21.18%	12.76%	16.96%	16.46%
Bloomberg US 1000 Growth	-7.67%	-7.67%	18.04%	19.64%	11.26%	15.57%	15.32%
S&P 500	-4.33%	-4.33%	17.80%	18.32%	12.06%	14.44%	13.87%

● = Annualized All performance as of 3/31/2026. Net fee inclusive of max wealth management fee. SWS Growth Equity inception 5/1/2018. Please see performance disclosures on Page 2.

Portfolio Characteristics

Holdings	33
Domestic Stock	89.29%
Foreign Stock	10.07%
Cash & Equivalents	0.64%
Wtd. avg. market cap	\$474.6B
Wtd. avg. P/E (last 12 mo.)	56.5x
Wtd. avg. P/B	12.0x
Wtd. avg. P/S (last 12 mo.)	9.5x
Wtd. avg. dividend yield (last 12 mo.)	0.12%

Risk Metrics

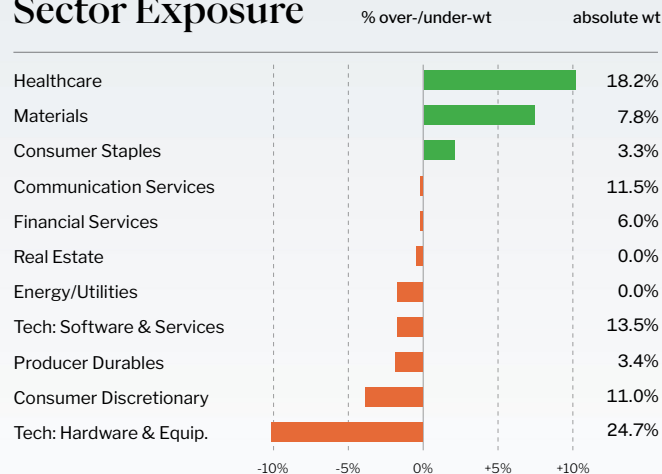
Information Ratio	-0.04
Sharpe Ratio	0.58
Beta	1.26
Upside/Downside Capture	1.25/1.26
R-squared	0.83
Standard Deviation	25.1%
Standard Deviation, Benchmark	18.0%
Active Share	82.9%

All risk metrics since 5/1/2018 inception.

Top Active Over-Weights



Sector Exposure



Methodology

Efficient Information Processing

Seeks better understanding of reward relative to risk, continually calibrating probability of future outcomes.

Common Framework

Utilized across all major benchmark sectors, assesses common quantitative and qualitative factors.

Repeatable Process

Allows conviction to be calibrated in the form of dynamic position sizing, addressable to various market environments.

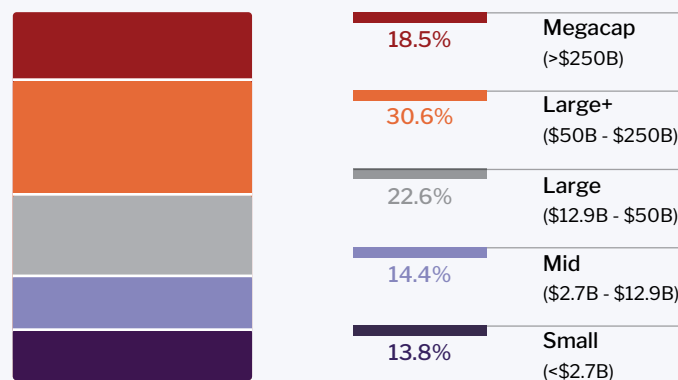
Stock Selection Focus

Isolates idiosyncratic factors, allowing stock selection to drive alpha and mitigate drawdowns from unintended factors.

Portfolio Exposure

Market Cap Breakdown

(\$474.6B wtd. avg.)



Scan the QR Code to read more Growth Equity strategy related insights and research.

Important Disclosures

Data are shown as of 3/31/2026; source: FactSet, Interactive Brokers, Charles Schwab & Co., and Bloomberg Index Services Limited. Past performance is not indicative of future results. Performance results and comparisons are made on a total-return basis, which includes all income from dividends and interest, and realized and unrealized gains or losses. Prior to Jan 1, 2020, SWS Growth Equity was named "Dynamic Growth Opportunities (DGO)." As of 3/31/2026, SWS Growth Equity's stated benchmark is the Bloomberg US 1000 Growth Index, with the Russell 1000 Growth Index being the stated benchmark from 5/1/2018 through 3/31/2026. The S&P 500 Index results are provided as a reference. The investment results are that of the SWS Growth Equity Composite. GIPS® Reports and additional disclosures for the related composites may be found in the [SWS Partners GIPS® Reports](#). SWS Growth Equity returns are shown both gross and net of fees and are calculated by asset weighting total returns of the strategy's composite accounts. These results are geometrically linked monthly for all periods shown. Gross return excludes advisory, investment management, and financial planning fees paid to the firm and are reflected net of trading costs, if applicable. Net return includes the time-weighted deduction of the strategy accounts' maximum fee schedule, inclusive of advisory, investment management, and financial planning fees; includes trading costs; and assumes all cash flows occur at month-end. Sector classifications as defined by SWS Partners. Geographic data defined by corporate headquarters domicile of the issuer. Price-to-earnings ("P/E"), price-to-book ("P/B"), and price-to-sales ("P/S") ratios are presented on a GAAP basis. Companies with negative trailing 12-mos earnings, and those with P/E ratios above 250x, are excluded from the weighted average P/E ratio calculation. Companies with a P/S ratio above 150x are excluded from the weighted P/S ratio calculation. This investment strategy is subject to management risk such that no assurance may be given that the portfolio's value will be more than the original investment. The investment return and principal value of SWS Partners, LLC portfolios will fluctuate as the stock and bond markets fluctuate such that an investor's shares and/or portfolio value, when redeemed, may be worth more or less than their original cost. This portfolio of individual equity and pass-through securities is subject to risks including but not limited to portfolio concentration risk, company-specific risk, regulatory risk, financial market risk, global economic risk, credit risk, interest rate risk, foreign market risk that may involve currency, political, and social risk. 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